

Docket No.: 33226/001001; P3791-US-NP  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Patent of:  
Pinneo et al.

Application No.: 09/708,100

Confirmation No.: 9615

Filed: Nov. 1, 2000

Art Unit: 1771

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For: **DIAMOND FOAM MATERIAL AND  
METHOD FOR FORMING SAME**

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Examiner: Hai Vo

**SUBMISSION FOR EXCUSE OF ERROR IN SMALL ENTITY STATUS  
UNDER 37 C.F.R. §1.28(c)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Madam:

Pursuant to 37 C.F.R. §1.28(c), please accept this submission for excuse of error in small entity status and the deficiency payment for the fees erroneously paid as a small entity.

01/14/2013 CKHLOK 00000031 500591 09708100  
01 FC:1559 665.00 DA  
02 FC:1461 3223.00 DA

**REMARKS**

Applicants thank the Examiner for carefully considering this submision.

**Background**

The present Patent was filed on Nov. 1, 2000, and subsequently issued as Pat. No 6,749,931 on June 15, 2004. The Patent is jointly owned by P1 Diamond, Inc. and Sun Microsystems, Inc. See Assignment at Recl/Frame 011961/0017 and 011960/0986. Sun Microsystems was, and is, a large entity and all fees should have been paid as a large entity. However, in the Response to the Notice to file Missing Parts, dated July 3, 2001, the fees were paid as a small entity, and it was stated that the fees were being paid as a small entity. However, no statement claiming small entity status was filed at that time, as is required. Subsequently, throughout prosecution, the majority of fees were paid as though small entity status had been claimed and granted. When this mistake was noticed, an attempt was made to correct the mistake by paying the second annuity fee as a large entity. By way of this submission, Applicants request excuse of the error(s) of paying small entity fees during prosecution, as allowed by 37 C.F.R. § 1.28(c).

**Excuse of Error in Small Entity Status**

Please accept the deficiency payment of \$3,888 for the fees erroneously paid as a small entity for this patent using Deposit Account 50-0591 (Reference Number 33226/001001), as hereby authorized. Included below is the itemization of the deficiency payment as required by 37 C.F.R. §1.28(c)(2)(ii):

Type of Fee	Date Paid	Original Payment Amount	Current Fee Amount	Amount Deficient
Filing Fee	7/3/2001	\$355	\$380	\$25
Search Fee	N/A	\$0	\$620	\$620
Examination Fee	N/A	\$0	\$250	\$250
Additional Claims (7)	7/3/2001	\$63	\$420	\$357
Additional Independent Claim (1)	7/3/2001	\$40	\$250	\$210
3 Mo. Extension of Time	7/3/2001	\$445	\$1270	\$825
Surcharge	7/3/2001	\$65	\$130	\$65
2 Mo. Extension of Time	11/7/2002	\$200	\$560	\$360
Additional Independent Claim (1)	11/7/2002	\$42	\$250	\$208
Additional Independent Claim (1)	6/9/2003	\$42	\$250	\$208
1 Mo. Extension of Time	7/25/2003	\$55	\$150	\$95
1 <sup>st</sup> Maintenance fee	12/6/2007	\$465	\$1130	\$665
<b>TOTAL</b>		<b>\$1772</b>	<b>\$5660</b>	<b>\$3888</b>

**Conclusion**

Applicants believe this reply is fully responsive to the above issue. If this belief is incorrect, or other issues arise, the Examiner is encouraged to contact the undersigned or his associates at the telephone number listed below. Specifically, if a fee was erroneously paid as a small entity and does not appear on the above itemization, or any other issue arises, please apply any charges not covered, or any credits, to Deposit Account 50-0591 (Reference Number 33226/001001). Applicants request that the Patent Office correct the entity status of this patent, confirm that all fees now have been paid in full (at the appropriate large entity price), and excuse the past error(s) in entity status.

Dated: September 27, 2012

Respectfully submitted,

By /Robert P. Lord/

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